

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

SYMANTEC CORPORATION,

Plaintiff(s),

v.

VEEAM SOFTWARE CORPORATION,

Defendant(s).

No. 3:12-cv-00700 SI (JCS)

Related Case No. 3:12-cv-5443 SI (JCS)

**NOTICE OF SETTLEMENT
CONFERENCE AND SETTLEMENT
CONFERENCE ORDER
(PATENT CASE)**

AND RELATED CASE

SYMANTEC CORPORATION,

Plaintiff(s),

v.

VEEAM SOFTWARE CORPORATION,

Defendant(s).

TO ALL PARTIES AND COUNSEL OF RECORD:

The above matter was referred to Magistrate Judge Joseph C. Spero for settlement purposes.

You are hereby notified that a Settlement Conference is scheduled for **January 8, 2013, at 9:30 a.m.**, in Courtroom G, 15th Floor, Federal Building, 450 Golden Gate Avenue, San Francisco, California 94102.

IT IS HEREBY ORDERED that the principals of all parties, with unlimited authority to settle the case, shall meet and confer *in person* on or before December 18, 2012, to discuss settlement. Plaintiff(s) shall make a settlement proposal, in writing, with a copy to the undersigned,

1 on or before November 30, 2012. Defendant(s) shall respond and make a counter-proposal, in
2 writing, with a copy to the undersigned, on or before December 13, 2012.

3 It is the responsibility of counsel to ensure that whatever discovery is needed for all sides to
4 evaluate the case for settlement purposes is completed by the date of the Settlement Conference.
5 Counsel shall cooperate in providing discovery informally and expeditiously.

6 Lead trial counsel shall appear at the Settlement Conference with the parties. Any party who
7 is not a natural person shall be represented by the person(s) with **unlimited** authority to negotiate a
8 settlement. A person who needs to call another person not present before agreeing to any settlement
9 does not have unlimited authority. If a party is a governmental entity, its governing body shall
10 designate one of its members or a senior executive to appear at the Settlement Conference with
11 authority to participate in the Settlement Conference and, if a tentative settlement agreement is
12 reached, to recommend the agreement to the governmental entity for its approval. An insured party
13 shall appear with a representative of the carrier with full authority to negotiate up to the limits of
14 coverage. Personal attendance of a party representative will rarely be excused by the Court, and
15 then only upon separate written application demonstrating substantial hardship served on opposing
16 counsel and lodged as early as the basis for the hardship is known but no later than the Settlement
17 Conference Statement.

18 **Each party shall prepare a Settlement Conference Statement, which must be LODGED**
19 **with the undersigned's Chambers (NOT electronically filed) no later than Noon on January 4,**
20 **2013.** Please 3-hole punch the document at the left side.

21 **Each party shall also submit their Settlement Conference Statement in .pdf format and**
22 **email their statement to JCSPO@cand.uscourts.gov.**

23 The Settlement Conference Statement need not be served on opposing counsel. The parties
24 are encouraged, however, to exchange Settlement Conference Statements. If Settlement Conference
25 Statements are exchanged, any party may submit an additional confidential settlement letter to the
26 Court not to exceed three (3) pages. The contents of this confidential settlement letter will not be
27 disclosed to the other parties.
28

The Settlement Conference Statement shall include the following:

1. A brief statement of the facts of the case.
2. A brief statement of the claims and defenses including, but not limited to, statutory or other grounds upon which the claims are founded, and a **candid** evaluation of the parties' likelihood of prevailing on the claims and defenses. The more candid the parties are, the more productive the conference will be.
3. A list of the key facts in dispute and a brief statement of the **specific** evidence relevant to a determination of those facts.
4. A summary of the proceedings to date and any pending motions.
5. An estimate of the cost and time to be expended for further discovery, pretrial and trial.
6. The relief sought, including an itemization of damages.
7. The party's position on settlement, including present demands and offers and a history of past settlement discussions. The Court's time can best be used to assist the parties in completing their negotiations, not in starting them. The parties are urged to carefully evaluate their case before taking a settlement position since extreme positions hinder the settlement process.

Settlement Conference Statements **may** be submitted on CD-ROM with hypertext links to exhibits. Otherwise, the portion of exhibits on which the party relies shall be highlighted.

It is not unusual for the conference to last three (3) or more hours. Parties are encouraged to participate and frankly discuss their case. Statements they make during the conference will not be admissible at trial in the event the case does not settle. The parties should be prepared to discuss such issues as:

1. Their settlement objectives.
2. Any impediments to settlement they perceive.
3. Whether they have enough information to discuss settlement. If not, what additional information is needed.
4. The possibility of a creative resolution of the dispute.

1 The parties shall notify Chambers immediately at (415) 522-3691 if this case settles prior to
2 the date set for Settlement Conference. Counsel shall provide a copy of this order to each party who
3 will participate in the conference.

4 IT IS SO ORDERED.

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6 Dated: November 27, 2012

7 
8 JOSEPH C. SPERO
United States Magistrate Judge